



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/071,217	02/08/2002	Seiichi Kusumoto	04558.064001

CONFIRMATION NO. 9257

## FORMALITIES LETTER



\*OC000000007761678\*

ROSENTHAL & OSHA L.L.P.  
1221 McKinney, Suite 2800  
Houston, TX 77010

COPY OF PAPERS  
ORIGINALLY FILED

Date Mailed: 04/02/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 870.

*A copy of this notice MUST be returned with the reply.*

*Tseyeredu*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY

04/30/2002 NMDHAMM1 00000114 10071217

01 FC:101 740.00 OF  
02 FC:105 130.00 OF

Docketing	
Action:	Missing Parts
Base Date:	4-2-2002
Due Date:	6-2-2002
Deadline:	11-2-2002
Initials:	<i>[Signature]</i>
Att/Sec. Initials:	



M1W

028/17

**Response To Notice To File Missing Parts Of Application**

**Filing Date Granted (PTO-1533)(Large Entity)**

Docket No.

04558.064001

In Re Application Of: **Seiichi KUSUMOTO, et al.**

Serial No.	Filing Date	Examiner	Group Art Unit
10/071,217	February 8, 2002		2673

Invention: **POLARIZING PLATE AND LIQUID CRYSTAL DISPLAY USING THE SAME**

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**TO THE ASSISTANT COMMISSIONER FOR PATENTS:**

**Box Missing Parts**

This is a response to the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533) mailed on  
**April 2, 2002**  
Date

Enclosed herewith for filing are the following:

- ☒ A copy of the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533). **(REQUIRED)**
- ☐ An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date.
- ☒ A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date.
- ☐ An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date.
- ☐ A verified English translation of the non-English language application papers as originally filed. It is requested that this translation be used as the copy for examination purposes in the United States Patent and Trademark Office.
- ☒ Other (list):

**Priority Document, Japanese Application No. 2001-060284 filed 3/5/2001**

**Response To Notice To File Missing Parts Of Application  
Filing Date Granted (PTO-1533)(Large Entity)**

Docket No.  
**04558.064001**

In Re Application Of: **Seiichi KUSUMOTO, et al.**

Serial No.  
**10/071,217**

Filing Date  
**February 8, 2002**

Examiner

Group Art Unit  
**2673**

Invention: **POLARIZING PLATE AND LIQUID CRYSTAL DISPLAY USING THE SAME**

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**TO THE ASSISTANT COMMISSIONER FOR PATENTS:**

**Box Missing Parts**

☒ Completion of application fees as calculated below:

☒ Utility application filing fee **\$740.00**

☐ Design application filing fee

☐ Total number of independent claims = \_\_\_\_\_

☐ Total number of claims = \_\_\_\_\_

☐ Multiple dependent claims

☒ Surcharge for late payment of filing fee and/or late filing of original declaration or oath **\$130.00**

☐ Petition and fee for filing by other than all the inventors or a person not the inventor

☐ Fee for processing an application filed with a non-English language specification

☐ Fee for processing and retention of application

Total completion of application fees **\$870.00**

This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a response to the above-identified Notice to File Missing Parts of Application. The requested extension is as follows (check time period desired). If an additional time extension is required, please consider this a petition therefor.

☐ One month ☐ Two months ☐ Three months ☐ Four months ☐ Five months

from: \_\_\_\_\_ until: \_\_\_\_\_  
Date Date

Total time extension fees \_\_\_\_\_

Total fees due **\$870.00**

**Response To Notice To File Missing Parts Of Application  
Filing Date Granted (PTO-1533) (Large Entity)**

Docket No.  
04558.064001

In Re Application Of: Seiichi KUSUMOTO, et al.

Serial No.  
10/071,217

Filing Date  
February 8, 2002

Examiner

Group Art Unit  
2673

Invention: **POLARIZING PLATE AND LIQUID CRYSTAL DISPLAY USING THE SAME**

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TO THE ASSISTANT COMMISSIONER FOR PATENTS:

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The fee of \$870.00 is to be paid as follows:

- ☒ A check in the amount of the fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account No. 50-0591  
A duplicate copy of this sheet is enclosed.
- ☐ If an additional extension of time is required, please consider this a petition therefor and charge any additional fees which may be required to Deposit Account No.  
A duplicate copy of this sheet is enclosed.

Dated: April 19, 2002

  
Jonathan P. Osha, Reg. No. 33,986  
ROSENTHAL & OSHA L.L.P.  
1221 McKinney, Suite 2800  
Houston, Texas 77010

Telephone: (713) 228-8600  
Facsimile: (713) 228-8778

CC:

I certify that this document and fee is being deposited on April 19, 2002 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

  
Signature of Person Mailing Correspondence

Anne-Marie Santiago

Typed or Printed Name of Person Mailing Correspondence